

Circular No.: NSDL/POLICY/2016/0059

Date: May 24, 2016

Subject: Compliance with SEBI circular on Delivery Instruction Slip (DIS) Issuance and Processing.

Attention of Participants is invited to NSDL Circular No. NSDL/POLICY/2016/0002 dated January 5, 2016 regarding DIS validation at the time of execution. In this regard, SEBI has vide its letter dated May 17, 2016 advised that DIS issued prior to January 7, 2014 shall not be accepted. Accordingly, Participants are advised to issue new DIS to their respective clients so as to ensure that the clients are not put to inconvenience. Participants are further requested to inform their clients through letter, email, SMS, etc. that the new DIS has been issued and the old DIS issued prior to January 7, 2014 will not be accepted. Participants are requested to inform NSDL about the compliance of the aforesaid SEBI advise on or before **May 31, 2016** at Participant-Interface@nsdl.co.in

In view of the above, Participants are requested to note that the guidelines issued vide NSDL Circular No. NSDL/POLICY/2016/0002 dated January 5, 2016 in respect of DIS validation pertaining to old DIS (*i.e. issued prior to January 7, 2014*) at the time of execution of DIS stands withdrawn.

For and on behalf of
National Securities Depository Limited

Nitin Ambure
Vice President

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	Through "e-Participant-Inspection Audit and Supervision System (e-PASS)	1. Circular No. NSDL/POLICY/2015/0096 dated October 29, 2015.

